

March 31, 2022

ALBERT Inc.  
(Stock code: 3906)  
2-21-1, Kita-Shinjuku,  
Shinjuku-ku, Tokyo  
Takeshi Matsumoto, President

## Matters of Controlling Shareholders

### 1. NAME OF PARENT COMPANY, CONTROLLING SHAREHOLDER (EXCLUDING PARENT COMPANY), OR OTHER AFFILIATED COMPANY, ETC.

| Company Name                         | Attribute                | Voting rights ownership(%) |                       |       | Financial instruments exchange on which shares are listed |
|--------------------------------------|--------------------------|----------------------------|-----------------------|-------|---|
|                                      |                          | Direct ownership           | Ownership to be added | Total |   |
| SBI Holdings, Inc. <sup>(note)</sup> | Other affiliated company | 31.12                      | -                     | 31.12 | First Section of Tokyo Stock Exchange, Inc.               |

(note) SBI Financial Services Co., Ltd. was the largest major shareholder and affiliated company as of December 31, 2021, but the change occurred on March 31, 2022 which was announced the press release “*Notice of Changes in Largest Major Shareholder and Other Affiliated Company*” dated March 25, 2022.

### 2. POSITION OF THE LISTED COMPANY IN THE CORPORATE GROUP OF THE PARENT COMPANY, ETC. / RELATIONSHIP BETWEEN OTHER LISTED COMPANIES AND THE PARENT COMPANY, ETC.

#### (1) RELATIONSHIP BETWEEN THE PARENT COMPANY AND THE LISTED COMPANY

SBI Holdings, Inc. (hereafter “SBI”) holds 31.12% of all voting rights as the largest major shareholder and other affiliated company. ALBERT Inc. (hereafter “ALBERT”) agreed capital and business alliance with SBI on June 9, 2021 and SBI has become an affiliated company. And Masato Takamura, Representative Director, Senior Executive Vice President & COO of SBI was appointed as the outside director of ALBERT at the extraordinary general meeting of shareholders on August 27, 2021.

| Position within the company | Name            | Position within the parent company or its corporate group  |
|-----------------------------|-----------------|--|
| Director (outside)          | Masato Takamura | Representative Director, Senior Executive Vice President & COO of SBI Holdings, Inc.<br>Representative director and President of SBI SECURITIES Co., LTD.<br>Representative Director and President of SBI FINANCIAL SERVICES Co., Ltd.<br>Director of SBI NEO FINANCIAL SERVICES Co., Ltd. |

#### (2) ACHIEVEMENT OF A CERTAIN DEGREE OF INDEPENDENCE FROM THE PARENT COMPANY, ETC.

ALBERT is aiming to business growth with maintaining cooperative relationship with SBI and its group companies. ALBERT is not to subject to any restrictions in our business and make our own business decisions regarding overall management. Therefore, ALBERT is confidence that it has achieved a certain degree of independence from SBI.

### 3. MATTERS CONCERNING TRANSACTION WITH CONTROLLING SHAREHOLDERS

Although there are no material transactions with SBI, ALBERT conducts transactions related data analysis etc. with SBI SECURITIES Co., LTD., group company of SBI based on the alliance.