

The following is a translation (extract) of the official announcement given to the JASDAQ and the media in Tokyo, Japan.

Summary Report of Consolidated Financial Results for the Fiscal Year 2016 (April, 2016 - September, 2016)



October 28, 2016

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(Amount rounded down to million yen)

1. Consolidated Results for FY2016 (Apr, 2016 - September, 2016)

(1) Consolidated Operating Results

(Percentage shows the percentage of change from the corresponding period of the previous year)

	Operating Revenue		Operating Profit		Ordinary Profit	
Apr.-Sep. FY2016	Million yen 3,948	% △10.3	Million yen 1,287	% △3.3	Million yen 1,261	% △7.7
Apr.-Sep. FY2015	4,404	55.1	1,331	294.2	1,367	195.9

(Note) Comprehensive Income Apr.2016-Sep.2016: 3 million yen (△99.5%)
 Apr.2015-Sep.2015: 742million yen (△27.7%)

	Profit attributable to owners of parent		Net Income per share	Net Income per share (fully diluted)
Apr.-Sep. FY2016	Million yen 616	% △60.4	yen 3.02	yen 3.02
Apr.-Sep. FY2015	1,556	223.1	7.61	7.60

(2) Consolidated Financial Position

	Total Assets	Net Assets	Capital Adequacy Ratio	Net Asset Per Share
Sep. 2016	Million yen 21,493	Million yen 14,703	% 62.0	yen 65.75
Mar. 2016	20,680	15,733	68.9	69.92

(Reference) Equity (i.e. "Shareholders' equity" and "Accumulated other comprehensive income")
 Sep. 2016: 13,334 million yen Mar. 2016: 14,256 million yen

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2. Dividends

	Dividends per share (yen)				Annual Total of Dividend
	First Quarter	Second Quarter	Third Quarter	Fourth Quarter	
					Yen
FY2015	—	0.00	—	4.00	4.00
FY2016	—	0.00			

3. Forecast of Results for the FY2016

The business of SPARX Group is influenced by changes in market conditions which make it difficult to forecast future operating results with accuracy.

In addition, with regards to month-end figures for asset under management (AUM), we have disclosed separately within five business days of beginning of every following month.

4. Information of Shares

	Mar. 2016	Sep. 2016
The number of shares issued and outstanding	209,537,400 shares	209,537,400 shares
Treasury shares	5,647,110 shares	6,737,210 shares

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